

ASSET ACCUMULATION (Preserve Your Estate) Do you have a will? Y / N Last updated? _____ Do you have a trust? Y / N If yes, what kind? _____ Purpose of trust? _____
Asset Description Market Value Cost Basis Real Estate (Owned, No Mortgage) _____

ASSET ACCUMULATION (Education Goals)

Plan Name Balance Monthly Contribution RoR Name of Dependent

ASSET ACCUMULATION (Retirement Goals) Desired Income Range: _____ What age range would you like to be able to retire? _____

Minimum Per Month Savings: _____ Maximum Per Month Savings: _____

HOW MUCH INSURANCE IS NEEDED? CHECK ALL THAT ARE IMPORTANT TO YOU

Debt _____ **L**iquidity **I**ncome _____ **T**axation

Mortgage _____ **S**afety **E**ducation _____

Transferability

Total _____

Insurance in place _____

Short fall _____

The DIME Method is only one method to help determine a client's insurable need. However, an insurable need of more than 10 times the client's current income may not be accepted by Transamerica Financial Advisors unless special exceptions apply.

NEXT APPOINTMENT On what date and at what time would you like to schedule our follow-up appointment?

Neither World Financial Group nor its affiliated companies provide tax advice and it is strongly recommended that clients consult a tax advisor concerning any tax issues relating to their investments.

This intake form shows expenses, savings and income, and investments based solely on the data collected from sources believed to be reliable and accurate.

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Cash Flow

- Earn additional income
- Manage expenses

Prese

When investing, there are certain risks, fees and charges, and limitat

Build Wealth

- Strive to outpace inflation & reduce taxes
- Professional money management

Prop

Debt Management

- Consolidate debt

- Strive to eliminate debt

Emergency Fund

- Save 3-6 months' income
- Prepare for unexpected expenses

Build

Client Information Folder

Proper Protection

- Protect against loss of income
- Protect family assets

agement

FundPreserve Wealth

- Reduce taxation xpenses
- Build a family legacy

ees and charges, and limitations that one must take into consideration.

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DEBTS HOUSEHOLD INFORMATION

Client 1 Name _____ Preferred Name _____ M / F
 DOB _____ Home
 Address _____
 _____ City _____ State _____ Zip

Code _____ (Please Check Preferred)

Home Phone _____ Personal Email _____
 Mobile Phone _____ Business Email _____

Description	Lender	Original Term	Year Balance	IR Min.	Payment	Current Payment	Mortgage
_____	_____	_____	_____%	_____	_____	_____	Auto Loan
_____	_____	_____	_____%	_____	_____	_____	Auto Loan
_____	_____	_____	_____%	_____	_____	_____	Student Loans
_____	_____	_____	_____%	_____	_____	_____	Credit Card
_____	_____	_____	_____%	_____	_____	_____	Credit Card
_____	_____	_____	_____%	_____	_____	_____	

Client 2 Name _____ Preferred Name _____ M / F
 DOB _____ (Please Check Preferred)

Home Phone _____ Personal Email _____
 Mobile Phone _____ Business Email _____

Credit Card	_____	_____	_____%	_____	_____	_____
Credit Card	_____	_____	_____%	_____	_____	_____
Personal Loan	_____	_____	_____%	_____	_____	_____
Personal Loan	_____	_____	_____%	_____	_____	_____

Dependents

INSURANCE

Name _____ M / F DOB _____ Years Ed. _____

In addition to covering your final expenses, what tasks do you want your insurance to accomplish (Education savings for children, fund retirement, surviving spouse fund)?

Name _____ M / F DOB _____ Years Ed.

Client 1:

Name _____ M / F DOB _____ Years Ed.

Do you have Life Insurance? Y / N Provider: _____ Type:

Name _____ M / F DOB _____ Years Ed.

Premium: _____ Term: _____ Death Benefit: _____ How did you arrive at that number? _____ Year Placed: _____ Riders: _____

GOALS & DREAMS

Do you have Health Insurance? Y / N Provider: _____ Type:

Client 1 Client 2 Client 1 Client 2

Premium: _____ Term: _____ Group Individual

Reduce Debt/Pay Off Mortgage Own A Business

HMO PPO Other: _____

Increase Cash Flow Explore Estate Planning

Client 2: Do you have Life Insurance? Y / N Provider: _____ Type:

_____ Maximize Retirement Accounts Standard of Living from Death/Disability

Premium: _____ Term: _____ Death Benefit: _____ Achieve

Financial Independence Plan Retirement

How did you arrive at that number? _____ Year Placed: _____ Riders: _____

Maximize Tax Advantages Explore Investment Options

Do you have Health Insurance? Y / N Provider: _____ Type:

Fund College Sell Your Home

Premium: _____ Term: _____ Group Individual

Buy New Home/Major Purchase Other Goals

HMO PPO Other: _____

Build Savings for Unexpected Expenses

Personal goals not mentioned above:

Frivolous Money: Daily: _____ Weekly: _____

EMPLOYMENT & INCOME Client 1 Employer: _____ Yrs: _____

Current Income Client 1 Client 2 Annual Salary _____ Client 1 Occupation: _____

Net Take Home _____

Client 2 Employer: _____ Yrs: _____

Bonus, Commission Rental Income _____ Client 2

Occupation: _____

Interest, Dividends _____ Alimony/Child Support _____ Do you see yourself retiring from there? Y / N

Annuity/Pension Income _____

Have you reviewed your finances with a financial professional? Y / N

Other Income Last Year's Tax Refund _____ Do you have an established budget? Y / N

Future Income Military/Civil Retirement _____ Social Security/Pension _____

TAXABLE TAX DEFERRED

Assets are generally positioned for current or short-term needs that can best be served with highly liquid investment instruments.

Assets are earmarked for long-term needs, such as college funding and retirement. Invested in less-liquid investments where any growth or interest is ultimately taxable at distribution. **Investment/ Asset Name Balance Monthly Contrib. RoR**

Investment/ Asset Name	Balance	Monthly Contrib.	Employ Match	RoR	Mutual Funds
401(K)/403(B) or Other Qualified Plans					
Stocks					
IRA/SEP IRA					
Bank Savings/CDs					
Annuities (Fixed/Variable)					
Bonds/Treasuries					
Savings Bonds					

TAX ADVANTAGED

Assets are positioned for the future since they receive preferential tax treatment during accumulation and at distribution.

Investment/ Asset Name	Balance	Monthly Contrib.	RoR	Roth IRA
Cash Value Life Insurance				

Please note the following: Certain events & distributions may be subject to taxation and individuals would be responsible for the appropriate liability. This piece provides general information regarding the Internal Revenue Code and does not take into consideration specific state laws. Neither World Financial Group nor its affiliated companies provide tax advice and it is strongly recommend that clients consult a tax advisor concerning any tax issues relating to their investments.